



Automatic Exchange of Information
Individual Self-Certification Form

CITCO

Individual Self-Certification Form

This self-certification form is collected to comply with existing and any future legislation enacted by any jurisdiction that provides for or is intended to secure the exchange of information (including, without limitation, under US FATCA and related Intergovernmental Agreements (“IGA”), the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (“CRS”) including the EU Directive 2011/16/EU on Administrative Cooperation in the field of Taxation, as amended (“EU DAC”), or any other jurisdiction's legislation which is similar in effect to any of the above), any official interpretations or guidance thereof, or any law or regulations implementing an intergovernmental approach thereto, or any agreements made pursuant to the implementation of the foregoing, in each case as enacted, made, amended or replaced from time to time.

US FATCA, CRS, EU DAC, applicable laws, regulations and/or guidance notes, are together referred to as the Automatic Exchange of Information (“AEOI”) regimes.¹

Please seek professional advice when completing this form.

Type directly into this form and return a signed copy.

1. Individual Identification²

First Name			
Last Name			
Date of birth (DD/MM/YYYY)			
Place and country of birth			
Country of citizenship If more than one, state all			
Residential address Do not use a P.O. box or in-care-of address	Address		
	City / Town		
	State / Province	Postal Code	
	Country		
Mailing address If different from above	Address		
	City / Town		
	State / Province	Postal Code	
	Country		

¹ For further information on the Automatic Exchange of Information, refer to:

CRS: <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard>

FATCA: <https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-fatca>

EU DAC 2: http://ec.europa.eu/taxation_customs/taxation/tax_cooperation/mutual_assistance/direct_tax_directive/index_en.htm

For accounts in Irish FIs, refer to : <http://www.revenue.ie/en/companies-and-charities/international-tax/aeoi/what-is-aeoi.aspx>

² For Citco use only:

- C&T: Individual ViewPoint Number:
- CB: Account Number:

2. Declaration of Tax Residence

I hereby confirm that I am, for tax purposes, resident in the following countries.

When the Individual is US citizen/US passport holder and/or resident in the US for tax purposes (green card holder or resident under the substantial presence test³), an IRS form W-9 must be completed and attached.

If the Individual is no longer a US citizen, the Individual must provide a certificate of loss of nationality of the United States and a copy of the foreign passport.

Country(ies) of Tax Residence	Tax Reference Number ("TIN") ⁴ or functional equivalent

3. Declaration and Undertakings

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I understand that the recipient is responsible for processing the information contained in this form (including personal data). I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs, which causes any of the information contained in this form to be inaccurate or incomplete. I understand that I am required to reply to each information request sent to me by the recipient and that failure to do so may have a negative impact on the reporting of my information, such as potentially reporting to the wrong foreign tax authority.

Where legally obliged to do so, I hereby consent to the recipient sharing the information contained in this form with the relevant tax authorities pursuant to international agreements and domestic laws under the AEOL regimes. I understand that the recipient and/or the tax authorities may exchange this information, along with other information such as account balances or value at year end, and where relevant, certain payments made with respect to the account during the calendar year, with tax authorities in other jurisdictions. I understand that I have the right to access the information that relates to me and which is reported to the relevant tax authorities and I have the right to rectify this information.

³ For further information on US tax residency for non-US citizens, refer to the Publication 519 in the IRS website: <https://www.irs.gov/publications/p519/ch01.html>

⁴ Overview of the Tax Identification Numbers domestic rules: <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/>

INDIVIDUAL SELF-CERTIFICATION FORM

I expressly acknowledge, agree and allow the Citco Group of Companies (Citco)⁵ to store the information contained in this form on a centralised electronic database at secure sites possibly located outside my tax residence jurisdiction(s), and in respect of which I agree that:

- a) the information contained in this form may be made available to employees of Citco and any of its Affiliates (whether or not outside my tax residence jurisdiction(s)); and
- b) Citco or any other of its Affiliates (whether or not outside my tax residence jurisdiction(s)) shall be entitled to use the information contained in this form to enable a classification under any law, regulatory or other governmental enactment (e.g. foreign tax compliance purposes) and to carry out any necessary registration and compliance as prescribed by such law, regulations or governmental enactment; and
- c) this may imply a transfer of information to jurisdictions where the level of data protection may not be as high as in the jurisdiction(s) noted in Section 2 above.

For further information regarding Citco’s privacy policy, refer to: <https://citco.com/footer/privacy-policy/>

Complete Information ▶	Print name of signer	
	Capacity in which acting (if form is not signed by the individual/ Account Holder)	
	Date (DD/MM/YYYY)	
Sign Here ▶	<hr/> Authorized Signature	

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5 The Citco Group Limited is the indirect parent of a network of independent companies. The Citco Group Limited provides no client services. Such services are provided solely by the independent companies within the Citco group of companies (hereinafter, the “Citco group of companies”) in their respective geographic areas. The Citco Group Limited and the Citco group of companies are legally distinct and separate entities. They are not, and nothing contained herein shall be construed to place these entities in the relationship of agents, partners or joint venturers. Neither The Citco Group Limited nor any individual company within the Citco group of companies has any authority (actual, apparent, implied or otherwise) to obligate or bind The Citco Group Limited in any manner whatsoever.